

Export LC Advice User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Advice User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Advice

As part of Export LC Advice, the advising bank receives the LC to be advised to the beneficiary from the issuing bank. The letter of credit is advised to the beneficiary through the advising bank. The various stages involved for advice of an Export Letter of Credit are:

- Receive and verify documents (Non Online Channel) - Registration stage
- Input application details
- Upload of related mandatory and non mandatory documents
- Verify documents and capture details
- Input/Modify details of LC - Data Enrichment stage
- Check for limit availability (In case of confirmation)
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges, if applicable.
- Capture remarks for other users to check and act.
- Hand off request to back office

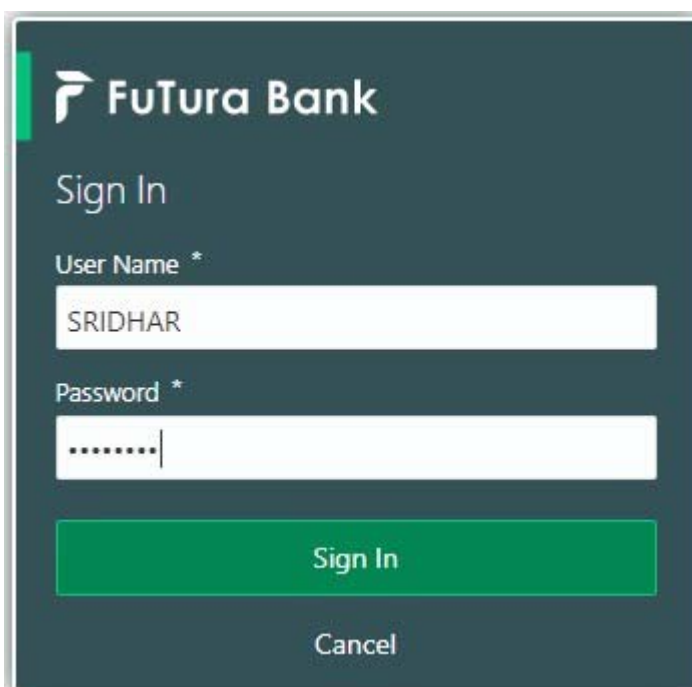
In the following sections, let's look at the details for Export LC Advising process:

This section contains the following topics:

Registration	Scrutiny
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

Registration

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



The screenshot shows a dark-themed login window for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. At the bottom, there are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user monitoring:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, and Stage Name. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns: Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOf.
- Priority Details:** Table with columns: Branch, Process Name, and Stage Name. Data rows: 004, NA, Loan Ap.
- High Value Transactions:** Bubble chart showing transaction values for GBP across a range of 0 to 8.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), and Priority. Data rows: NA (36758), HSBC BANK (39951), WALL MART (36779), and FMR & CO (40064).
- Priority Summary:** Table with columns: Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns: Branch, Process Name, and Stage Name. No data is displayed.
- SLA Status:** Legend for Cucumber Testing: Within SLA (blue), Nearing SLA (green), SLA breached (yellow). No data is displayed.
- Tasks Detailed:** Table with columns: Process Reference Number and Process Name. No data is displayed.

3. Click Trade Finance> Export - Documentary Credit> Export LC Advice.

The 'Export LC Advice' screen displays the following information:

- Priority Summary:** Table with columns: Branch, Process Name, Stage Name, No of High Priority Items, No of Medium Priority Items, and No of Low Priority Items. Data rows for GS1 branch show 0 items across all categories for Registration, Approval1, and Approval2 stages.
- High Value Transactions:** Bubble chart showing transaction values for USD, INR, and GBP across a range of 0 to 12 million.
- High Priority Tasks:** Table with columns: Branch, Process Name, Stage Name, Process Reference Number, Customer Name, and User ID. No data is displayed.

The registration stage has two sections Application Details and LC Details. Let's look at the registration screens below:

Application Details

FuTura Bank Dashboard FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Advise Documents Remarks

Application Details


Beneficiary Name * 000262 EMR & CO	Branch * GS1-FBN UK	Priority * Medium	Submission Mode * Desk
32B - Currency Code, Amount * GBP £25,000.00	Process Reference Number GS1ELCAD0024158	Advising Date * Feb 1, 2019	Issuing Bank * 000261 HSBCGB11XXX


LC Details

Revolving <input type="checkbox"/>	LC Type Sight	Product Code * ECLT	Product Description OUTGOING DOCUMENTARY USANCE E
Advising Bank 000265 CITIUS33	40A - Form Of Documentary Credit IRREVOCABLE	20 - Documentary Credit Number 12345	Contract Reference Number GS1ECLT190320001
23 - Reference To Pre-Advice	31C - Date Of Issue * Feb 1, 2019	40E - Applicable Rules UCP LATEST VERSION	31D - Date Of Expiry * May 31, 2019
31D - Place Of Expiry * NEGOTIATING BANK	51A - Applicant Bank 000267 DEUTDEFF	50 - Applicant * 000263 NESTLE	39A - Percentage Credit Amount Tolerance 10 / 10
39C - Additional Amounts Covered			

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Beneficiary Name	Select the beneficiary customer from the LOV. If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.	
Branch	Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required. <div style="text-align: center;">  Note Once the request is submitted, Branch field is non-editable. </div>	203-Bank Futura -Branch FZ1
Currency code	Select the currency code.	GBP
Amount	Provide the value of LC (with decimal places) as per currency type.	1,000.00
Priority	This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Export LC Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High

Field	Description	Sample Values
Submission Mode	<p>Select the submission mode of Export LC Advice request. By default the submission mode will have the value as 'Desk'.</p> <p>Desk - Request received through Desk</p> <p>Fax - Request received through Fax</p> <p>Email - Request received through Email</p> <p>Courier - Request received through Courier</p>	Desk
Process Reference Number	<p>Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.</p>	203ILCISS0000 00500
Advising Date	<p>By default, the application will display branch's current date and enables the user to change the date to any back date.</p> <div style="text-align: center;">  <p>Note</p> </div> <p>Future date selection is not allowed.</p>	04/13/2018
Issuing Bank	<p>Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <ul style="list-style-type: none"> a) SWIFT code (if available) b) Name and address of the bank <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	

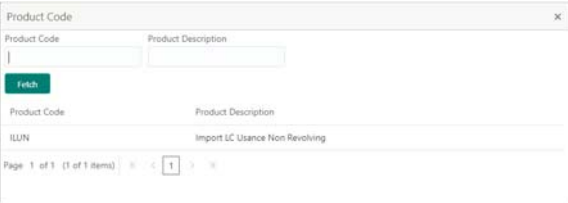
LC Details

Registration user can provide LC details in this section. Alternately, LC details can be provided by Scrutiny user.

The screenshot shows the 'Export LC Advise' form in the FuTura Bank system. The 'LC Details' section is highlighted with a red border. It contains the following fields:

- Revolving:** A toggle switch currently set to 'Off'.
- LC Type:** A dropdown menu set to 'Sight'.
- Product Code:** A search field containing 'ECLT'.
- Product Description:** A text field containing 'OUTGOING DOCUMENTARY USANCE E'.
- 40A - Form Of Documentary Credit:** A dropdown menu set to 'IRREVOCABLE'.
- 20 - Documentary Credit Number:** A text field containing '12345'.
- 40E - Applicable Rules:** A dropdown menu set to 'UCP LATEST VERSION'.
- 31D - Date Of Expiry:** A date picker set to 'May 31, 2019'.
- 50 - Applicant:** A search field containing 'NESTLE'.
- 39A - Percentage Credit Amount Tolerance:** A text field containing '10 / 10'.

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	<p>Toggle On: LC type is Revolving.</p> <p>Toggle Off: LC is type Non Revolving.</p>	
LC Type	<p>Select the applicable LC type from LOV:</p> <ul style="list-style-type: none"> • Sight • Usance • Mixed 	
Product Code	<p>Select the applicable product code.</p> <p>Click the look up icon to search the product code with code or product description.</p>  <p>Alternatively, enter the product code and on tab out system will validate and populate the selected product description.</p>	ILUN
Product Description	Auto populated by the application based on the product code.	Export LC Usance Non Revolving

Field	Description	Sample Values
Advising Bank	Select the advising bank. Click the look up icon to search the advising bank based on Party ID/Party name.	001342 -HSBC Bank
40A - Form of Documentary Credit	Select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable .	Irrevocable
Documentary Credit Number	Provide the issuing bank's LC reference number.	
Contract Reference Number	Contract Reference Number will be defaulted by the system based on selected product code.	
Reference to Pre-Advice	Provide details of Pre-Advice, if issued by the bank.	
Date Of Issue	Provide the LC date of issue. Future dates are not allowed.	04/13/18
Application Rules	Select the applicable rules for the LC. Default rule if UCP Latest Version .	UCP Latest Version.
Date Of Expiry	Provide the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the expiry date is earlier than the issue date, system will provide an error and if the expiry date is equal to the issue date, system will provide a alert message.	09/30/18
Place of Expiry	Provide the place of expiry of LC.	London
Applicant Bank	Select the applicant bank details, if applicable.	001343 Bank of America
Applicant	Select the applicant, if applicant is a customer of the bank. If applicant is a walk in customer, provide the details.	001344 EMR & CO
Percentage Credit Amount Tolerance	Enables the user to provide tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value. If Tolerance is more than 10%, alert message will be displayed.	8/2
Additional Amount Covered	Provide additional amount included in LC.	

Miscellaneous

Enables the user to upload required documents.

Provide the miscellaneous details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the mail LC received from issuing bank.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	

Action Buttons

Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Export LC Advice registration inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledged.	

Scrutiny

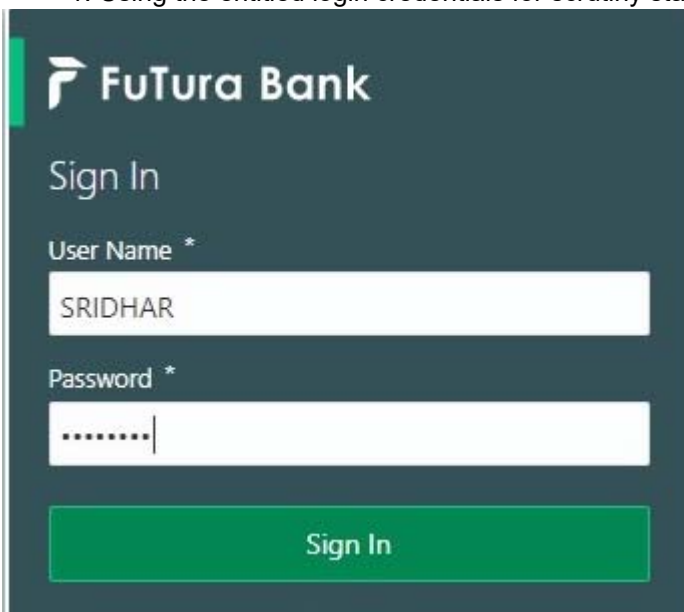
On successful completion of registration of an Export LC Advice request, the request moves to scrutiny stage. At this stage the gathered information during registration are scrutinized.

Non Online Channel - Export LC Advice requests that were received at the desk will move to scrutiny stage post successful registration. The request will have the details entered during the registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for monitoring and management:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, and Status. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns: Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOf.
- Priority Details:** Table with columns: Branch, Process Name, and Stage Name. Data rows: 004, NA, Loan Ap.
- High Value Transactions:** Bubble chart showing transactions for GBP. X-axis ranges from -1 to 8, Y-axis from -20K to 140K.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), and Priority. Data rows: NA (36758, H), HSBC BANK (39951, M), WALL MART (36779, M), EMR & CO (40064, M).
- Priority Summary:** Table with columns: Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns: Branch, Process Name, and Stage Name. Currently empty.
- SLA Status:** Legend for Cucumber Testing: Within SLA (blue), Nearing SLA (green), SLA breached (yellow). Note: No data to display.
- Tasks Detailed:** Table with columns: Process Reference Number and Process Name. Currently empty.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page displays a list of tasks with the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Scrutiny
Acquire & Edit		GS1ILCDR0024146	GS1	000262	£11,000.00	Import LC Drawing	Registration
Acquire & Edit	M	203IDCBK0024145	GS1	000281	£123.00	Import Documentary- Booki...	Data Enrichment
Acquire & Edit		GS1ILCDR0024142	GS1	000262	£11,000.00	Import LC Drawing	Registration
Acquire & Edit	M	GS1ILCUD0024138	GS1	000343	£110,000.00	Import LC Update Drawings	Data Enrichment
Acquire & Edit		203ILCDR0024110	203	000262	\$10,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-10 of 10 items) | Previous 1 - 10 of 3252 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
<input checked="" type="checkbox"/> Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Scrutiny
<input type="checkbox"/> Acquire & Edit		GS1ILCDR0024146	GS1	000262	£11,000.00	Import LC Drawing	Registration
<input type="checkbox"/> Acquire & Edit	M	203IDCBK0024145	GS1	000281	£123.00	Import Documentary- Booki...	Data Enrichment
<input type="checkbox"/> Acquire & Edit		GS1ILCDR0024142	GS1	000262	£11,000.00	Import LC Drawing	Registration
<input type="checkbox"/> Acquire & Edit	M	GS1ILCUD0024138	GS1	000343	£110,000.00	Import LC Update Drawings	Data Enrichment
<input type="checkbox"/> Acquire & Edit		203ILCDR0024110	203	000262	\$10,000.00	Import LC Drawing	Registration

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
<input checked="" type="checkbox"/> Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Scrutiny
<input type="checkbox"/> Edit		GS1ILCDR0024000	GS1	000262	£11,000.00	Import LC Drawing	Registration

The scrutiny stage has five sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Additional Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application
- Application Details
- LC Details

Application

This section provides a quick snapshot of details of LC. This Application section will be available in all the sections of Scrutiny stage and the fields will be read only. This section is collapsible.

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** field. Refer to [Application Details](#) for more information of the fields.

LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the scrutiny stage inputs and return to dashboard. The data input will not be saved.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Availability & Shipment

User must verify/input/update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

Application

Refer to [Application](#).

Availability Details

The screenshot displays the 'Export LC Advice - Scrutiny' interface for 'Availability & Shipment'. The 'Availability Details' section is highlighted with a red box and contains the following fields:


- 41a-Available with: BOFAUS11XXX
- 41a-Available By: BY PAYMENT
- 42C-Drafts At: [Empty]
- 42a-Drawee: [Empty]

The 'Shipment Details' section contains the following fields:

- 43P-Partial Shipments: NOT ALLOWED
- 43T-Transshipment: NOT ALLOWED
- 44A-Place of Taking in Charge: [Empty]
- 44E-Port of Loading: New York
- 44F-Port of Discharge: London
- 44B-Place of Final Destination: [Empty]
- 44C-Latest Date of Shipment: 10/10/18
- 44D-Shipment Period: [Empty]

At the bottom of the interface, there are buttons for 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Provide the Availability Details based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>Online Channel - Read only</p> <p>Non Online Channel - User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> • If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p> <ul style="list-style-type: none"> • If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). 	
Available By	<p>Online Channel – Read only</p> <p>Non Online Channel – Choose one of the following values from drop down.</p> <ul style="list-style-type: none"> • BY ACCEPTANCE • BY DEF PAYMENT • BY MIXED PAYMENT • BY NEGOTIATION • BY PAYMENT <p>Validation:</p> <p>1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If By Deferred Payment is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) if By Payment is selected, payment at sight is applicable.It must be applicable for sight type of product only.</p>	




Field	Description	Sample Values
Drafts At	<p>Online Channel - Read only</p> <p>Non Online Channel - Provide the draft details.</p> <p>This field specifies the tenor of drafts to be drawn under the documentary credit.</p> <ul style="list-style-type: none"> • SIGHT • NN DAYS SIGHT • USANCE (payable in full or parts) <p>NN DAYS FROM SHIPMENT DATE (e.g. 1. 30 days from BL date</p> <p>2. 10 % payable 30 days from BL date, 40 % payable 60 days from BL date 50 % payable 90 days from BL date)</p> <p>b) NN DAYS FROM INVOICE DATE</p> <p>c) NN DAYS FROM ACCEPTANCE</p> <p>d) NN DAYS FROM DRAFT</p> <p>4. MIXED</p> <p>a) X percentage SIGHT (100-X) percentage USANCE FROM</p> <p>i) NN DAYS FROM SHIPMENT DATE</p> <p>ii) NN DAYS FROM INVOICE DATE</p> <p>iii) NN DAYS FROM ACCEPTANCE</p> <p>iv) NN DAYS FROM DRAFT</p>	




Field	Description	Sample Values
Drawee	<p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> • Search the bank with SWIFT code (BIC) or Bank Name. <div data-bbox="678 483 1059 844" data-label="Image"> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p> <div data-bbox="683 1014 746 1099" data-label="Image"> <p>Note</p> </div> <p>This field is mandatory if value is provided at Drafts At field.</p>	
Payment Details	Provide the payment details if, Available By filed has Mixed Payment or Deferred Payment .	

Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	
Transshipment	<p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Online Channel - Read only</p> <p>Non Online Channel - Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	

Field	Description	Sample Values
Place Of Taking In Charge	<p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non online Channel - Provide the details of place of taking in charge.</p>  <p>Note</p> <p>This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Loading	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non online Channel - Provide the details of Port/ Airport of Loading.</p>  <p>Note</p> <p>This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Port/ Airport of Discharge.</p>  <p>Note</p> <p>This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Place Of Final Destination	<p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Place Of Final Destination.</p>  <p>Note</p> <p>This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>Provide the latest date for loading on board/ dispatch/taking in charge.</p>  <p>Note</p> <p>This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	
Shipment Period	<p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Shipment.</p>  <p>Note</p> <p>This field is alternate to Latest Date Of Shipment. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

Description Of Goods And Or Services

This field contains a description of the goods and/or services. Provide the goods and services details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Online Channel - Read only. Non Online Channel - Select the appropriate INCO terms.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage in scrutiny. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Cancel	Cancel the operation and return to dashboard. The data input will not be saved.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Payment Details

Application

Refer to [Application](#).

Payment Details

FuTura Bank My Tasks Bank Futura - Br... (203) 04/13/18 OBTFFPM02
subham@gmail.com

Export LC Advice - Scrutiny Documents Remarks Incoming Message Screen (3 / 5)

Payment Details
 ▶ Application :- 203ELCADV000009491

49G - Spl Paymt Condn - Beneficiary
 49H - Spl Paymt Condn - Rec Bank
 48 - Period for Presentation
 49 - Confirmation Instructions *


To be Confirmed
 58A - Requested Confirmation Party
 53A - Reimbursing Bank
 57A - Advise Through Bank

78 - Instructions to P/A/N Bank
 72 - Sender to Receiver Information

Reject Hold Cancel Save & Close Back Next

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Spl Paymt Condn - Beneficiary	Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Spl Paymt Condn - Rec Bank	Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	Online Channel – Read-only. Non Online channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	

Field	Description	Sample Values
Confirmation Instructions	<p>Online Channel – Read only.</p> <p>Non Online Channel - Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system should display the</p> <p>a) SWIFT code (if available),</p> <p>b) Name and address of the bank</p> <p>On selection of the record if SWIFT code is available then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Requested Confirmation Party	<p>Online and Non-Online Channels – Provide requested confirmation party details.</p> <div style="text-align: center;">  <p>Note</p> </div> <p>This field is applicable only for LC Type - Confirmed LC.</p>	
Reimbursing Bank	<p>If reimbursing bank is applicable user must update the field.</p> <p>Online Channel - Update the details received.</p> <p>Non online channel - Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available), • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available) • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	

Field	Description	Sample Values
Instructions to P/A/N Bank	Online Channel- User can update details received. Non online channel – Provide the details in this field.	
Sender to Receiver Information	Online Channel – User can update details received. Non Online Channel – Provide details (FFT).	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Additional Details

FuTura Bank My Tasks Bank Futura -Br... (203) 04/13/18 OBTFFPM02
subham@gmail.com

Export LC Advice - Scrutiny Documents Remarks Incoming Message Screen (4 / 5)

Main Details
 Availability & Shipment
 Payment Details
 Additional Details
 Summary

Additional Details

▶ Application :- 203ELCADV000009491

Revolving 📄

Revolving : **No**

Revolving In :

Revolving Units :

Charge Details 📄

Charge :

Commission :

Tax :

Block Status :

Reject Hold Cancel Save & Close Back Next

Revolving Details

Revolving ✕

Revolving

No

Next Reinstatement Date

mm/dd/yy

Revolving In

Cummulative

Revolving Frequency

Automatic Reinstatement

Revolve Units

✔ Save & Close ✕ Cancel

Provide the Revolving Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Select if the LC is revolving or not using the drop down.	
Revolving In	Select the mode of revolving in this field.The LC can revolve with Time or Units.	
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	You can capture the units by which the LC revolves.	

Field	Description	Sample Values
Next Reinstatement Date	This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	This field enables you to have automatic reinstatement on the reinstatement day without manual intervention.	

Limits & Collateral



Note

The fields in this section is applicable only if LC type is Confirmed LC.

Provide the Limit Details based on the description in the following table:

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001346	001346	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	20	GBP	£4,000.00	2030013460000000017	Available	The amount block can be perf

Save & Close Cancel

Limit Details

Customer ID: 001346

Line ID: 001346

Contribution %: 100

Contribution Currency: GBP

Limit Currency: GBP

Limit Check Response: Available

Limits Description:



Contribution Amount: £20,000.00


Limit Available Amount:

Response Message: The Earmark can be performed as the f

Verify

Save & Close Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Limit Details.	
Plus Icon 	Click plus icon to add new Limit Details.	

Field	Description	Sample Values
Minus Icon 	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Applicant's/Issuing Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Contribution	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Provide the collateral details based on the description provided in the following table:

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	
<input checked="" type="checkbox"/>	001346	001346	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	20	GBP	£4,000.00	20300134600000000017	Available	The amount block can be perfo

Save & Close Cancel

Collateral Details

Collateral Type *
Cash Collateral

Collateral % *
20

Currency
GBP

Contribution Amount *
£4,000.00

Settlement Account *
20300134600000000017

Settlement Account Branch
203

Settlement Account Currency
GBP



Account Available Amount
£998,926,760.53


Response
Available

Response Message
The amount block can be performed as:

Verify

Save & Close Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Collateral Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	

Field	Description	Sample Values
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Provide the Charge Details based on the description provided in the following table:

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Provide the Commission Details based on the description provided in the following table:

The screenshot shows a 'Charge Details' window with two main sections: 'Commission Details' and 'Tax Details'. The 'Commission Details' section is highlighted with a red border and contains a table with the following data:

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

The 'Tax Details' section contains a table with the following data:

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

At the bottom right of the window, there are two buttons: 'Save & Close' and 'Cancel'.

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Summary

User can review the summary of details updated in scrutiny Export LC Advice request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.

- Payments - User can view and modify all details related to payments, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.

Action Buttons

Use action buttons based on the description in the following table:

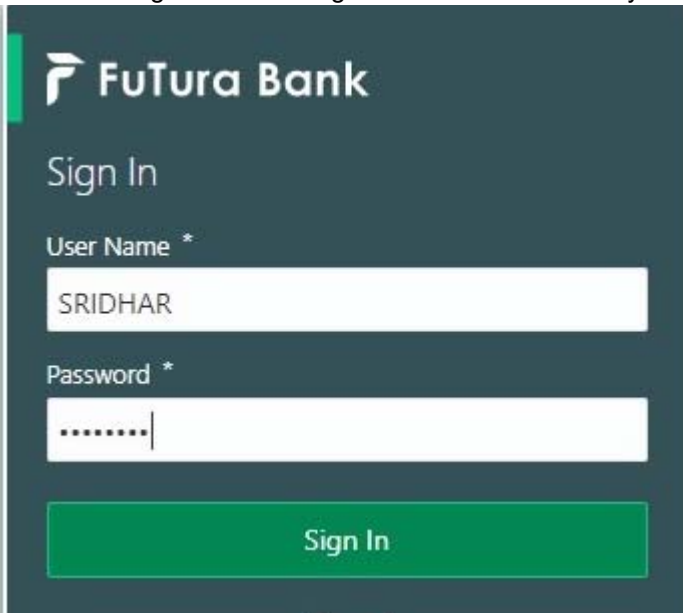
Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Data Enrichment

As part of data enrichment, you can enter/update basic details of the incoming request.

Do the following steps to acquire a task which completed the registration and scrutiny and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

Dashboard Widgets:

- Draft Confirmation Pending:**

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G
- Hand-off Failure:**

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf
- Priority Details:**

Branch	Process Name	Stage Name
004	NA	Loan Ap
004	NA	Loan Ap
004	NA	Loan Ap
- High Value Transactions:** A bubble chart showing transaction values for GBP. The x-axis ranges from -1 to 8, and the y-axis ranges from -20K to 140K. A large blue bubble is visible at approximately (1, 100K).
- SLA Breach Details:**

Customer Name	SLA Breached(mins)	Prior
NA	36758	H
HSBC BANK	39951	M
WALL MART	36779	
EMR & CO	40064	M
- Priority Summary:**

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip
- Hold Transactions:**

Branch	Process Name	Stage Name
--------	--------------	------------
- SLA Status:** Cucumber Testing. Legend: Within SLA (blue), Nearing SLA (green), SLA breached (yellow). Status: No data to display.
- Tasks Detailed:** Cucumber Testing. Legend: Process Reference Number, Proc...

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Data Enrichment
Acquire & Edit	M	GS1ILCDR0024151	GS1	000262	£1,000.00	Import LC Drawing	Scrutiny
Acquire & Edit	M	GS1ELCAD0024161	GS1	000262	£100.00	Export LC Issuance	Scrutiny
Acquire & Edit		GS1ILCDR0024146	GS1	000262	£11,000.00	Import LC Drawing	Registration
Acquire & Edit	M	203IDCBK0024145	GS1	000281	£123.00	Import Documentary- Booki...	Data Enrichment
Acquire & Edit		GS1ILCDR0024142	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 3258 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Data Enrichment
Acquire & Edit	M	GS1ILCDR0024151	GS1	000262	£1,000.00	Import LC Drawing	Scrutiny
Acquire & Edit	M	GS1ELCAD0024161	GS1	000262	£100.00	Export LC Issuance	Scrutiny
Acquire & Edit		GS1ILCDR0024146	GS1	000262	£11,000.00	Import LC Drawing	Registration
Acquire & Edit	M	203IDCBK0024145	GS1	000281	£123.00	Import Documentary- Booki...	Data Enrichment
Acquire & Edit		GS1ILCDR0024142	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 3258 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Data Enrichment
Edit		GS1ILCDR0024000	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-2 of 2 items) Previous 1 - 2 of 2 records Next

The Data Enrichment stage has five sections as follows:

- Main Details
- Availability & Shipment
- Documents & Conditions
- Payment Details
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. You should be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Availability & Shipment

Refer to [Availability & Shipment](#).

Documents & Conditions

User must provide the required documents and additional conditions (if applicable) in this section.

Export LC Advice - Data Enrichment

Application :- 203ELCADV000001070

Documents Required

Select	Code	Name	Copy	Original	Description
<input type="checkbox"/>	INVOICE	INVOICE			SIGNED COMME
<input type="checkbox"/>	INSDOC	Insurance			
<input type="checkbox"/>	BOL	Bill of Lading			

Additional Conditions

Select	FFT Code	FFT Description
<input type="checkbox"/>	FFTLCAC	DISCREPANCY FEE FOR USD 75.- (OR EQUIVALENT IN L/C CURRENCY)PLUS ALL RELATIVE SWIFT CHARGES WILL BE DEDUC

Buttons: Reject, Hold, Cancel, Save & Close, Submit, Back, Next

Application

Refer to [Application](#).

Documents Required

Export LC Advice - Data Enrichment

Documents & Conditions

Application :- 203ELCADV000001070

Documents Required

Select	Code	Name	Copy	Original	Description
<input type="checkbox"/>	INVOICE	INVOICE			SIGNED COMME
<input type="checkbox"/>	INSDOC	Insurance			
<input type="checkbox"/>	BOL	Bill of Lading			

Additional Conditions

Select	FFT Code	FFT Description
<input type="checkbox"/>	FFTLCAC	DISCREPANCY FEE FOR USD 75.- (OR EQUIVALENT IN L/C CURRENCY)PLUS ALL RELATIVE SWIFT CHARGES WILL BE DEDUC

Buttons: Reject, Hold, Cancel, Save & Close, Submit, Back, Next

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for Document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Additional Conditions

Additional Conditions

Select	FFT Code	FFT Description
<input type="checkbox"/>	FFTLCAC	DISCREPANCY FEE FOR USD 75.- (OR EQUIVALENT IN L/C CURRENCY)PLUS ALL RELATIVE SWIFT CHARGES WILL BE DEDUC

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Payment Details

Refer to [Payment Details](#).

Additional Details

Application

Refer to [Application](#).

Revolving Details

Provide the Revolving Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Select if the LC is revolving or not using the drop down.	
Revolving In	Select the mode of revolving in this field. The LC can revolve with Time or Units.	
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.	

Field	Description	Sample Values
Revolving Units	You can capture the units by which the LC revolves.	
Next Reinstatement Date	This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	This field enables you to have automatic reinstatement on the reinstatement day without manual intervention.	

Limits & Collateral

Provide the Limit Details based on the description in the following table:

Limit & Collateral
✕

Limit Details
📄 + -

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/>	001346	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details
📄 + -

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	20	GBP	£4,000.00	2030013460000000017	Available	The amount block can be perfo

✔ Save & Close ✕ Cancel

Limit Details
✕

Customer ID
001346 🔍

Contribution % *
100 ⬇ ⬆

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Verify

Line ID *
001346 🔍

Limits Description



Contribution Amount *
£20,000.00

Limit Available Amount

Response Message
The Earmark can be performed as the f

✔ Save & Close
✕ Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Limit Details.	

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Minus Icon 	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Contribution	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Provide the collateral details based on the description provided in the following table:

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	
<input checked="" type="checkbox"/>	001346	001346	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	20	GBP	£4,000.00	20300134600000000017	Available	The amount block can be perfo

Save & Close Cancel

Collateral Details

Collateral Type *
Cash Collateral

Collateral % *
20

Currency
GBP

Contribution Amount *
£4,000.00

Settlement Account *
20300134600000000017

Settlement Account Branch
203

Settlement Account Currency
GBP



Account Available Amount
£998,926,760.53


Response
Available

Response Message
The amount block can be performed as:

Verify

Save & Close Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Collateral Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	

Field	Description	Sample Values
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Provide the Commission Details based on the description provided in the following table:

The screenshot shows a 'Charge Details' window with two main sections: 'Commission Details' and 'Tax Details'. The 'Commission Details' section is highlighted with a red border and contains a table with columns: Component, Rate, Currency, Amount, Modified, Defer, and Waive. The 'Tax Details' section contains a table with columns: Component, Currency, Amount, and Settlement Account.

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

The screenshot shows a 'Charge Details' window with two main sections: 'Commission Details' and 'Tax Details'. The 'Tax Details' section is highlighted with a red border.

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Buttons: Save & Close, Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Preview

User can view the draft Acknowledge Message (MT 730).

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Advice request.

Export LC Issuance - Data Enrichment :: Application No: GS1ELCAD0024158

Summary

Main Details	Availability Shipment	Document Details
Form Of LC : IRREVOCABLE	Available With : APACGB61XTR	Document 1 : BOL
Submission Mode : Desk	Available By : P	Document 2 : INSDOC
Date Of Issue : 2019-02-01	Port of Loading :	Document 6 : INVDOC
	Port of Discharge : LONDON	

Payment Details	Additional Details	Additional Details
Period Of Present. : 21	Revolving :	Charge :
Confirmation Instr. : W	Revolving In :	Commission :
	Revolving Frequency :	Tax :
		Block Status : Not Initiated

Additional Details	Additional Details	Compliance
Language : ENG	Limit Currency :	KYC : Not Initiated
Preview Message : -	Limit Contribution :	Sanctions : Not Initiated
	Limit Status : Not Verified	AML : Not Initiated
	Collateral Currency :	
	Collateral Contr. :	
	Collateral Status : Not Verified	

Party Details
Applicant : NESTLE
Beneficiary : EMR & CO
Advising Bank : CITIBANK NY
Confirming Bank : HSBC BANK

Reject Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Export LC Advice Data Enrichments stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Export LC Advice request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral. Amount block check will be done for all the parties related to the LC.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM Application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account.

Application

Refer to [Application](#).

Amount Bock Exception

This section will display the amount block exception details.

The screenshot displays the FuTura Bank application interface. The top navigation bar includes the FuTura Bank logo, 'My Tasks', and user information 'SRIDHAR'. The main content area is titled 'Amount Block Exception' and shows a summary card for 'Application :- 203ELCADV000001070'. Below this is a table titled 'Amount Block Exception Details' with columns: Type, Contract Currency, Block Amount, Branch, Account, Account Currency, Block Ref No, Block Status, and Block Status Details. The table currently shows 'No data to display.' The interface also features buttons for 'Remarks', 'Documents', and 'Checklist'.

Summary

Summary

Application :- 203ELCADV000001070

Main Details

- Form Of LC : **IRREVOCABLE**
- Submission Mode : **Desk**
- Date Of Issue : **2018-04-13**
- Date Of Expiry : **2018-08-29**
- Place Of Expiry : **NEGOTIATING BANK**

Party Details

- Applicant : **XXX**
- Beneficiary : **XXX**
- Advising Bank : **XXX**
- Confirming Bank :

Limits & Collaterals

- Limit Currency : **GBP**
- Limit Contribution : **24000**
- Limit Check Status : **Available**
- Collateral Currency :
- Collateral Contribution :
- Collateral Check Status : **Not Verified**

Charge Details

- Charge :
- Commission :
- Tax :
- Block Status : **Not Initiated**

Buttons: Reject, Hold, Refer, Cancel, Approve, Back, Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Advice Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the beneficiary. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM Application KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Application

Refer to [Application](#).

KYC Details

This section will display the KYC details.

Export LC Advice - KYC Exception

KYC Exception Details

Application :- 203ELCADV000001070

Party ID	KYC Status	KYC Verified On	KYC Verified Till
No data to display.			

Reject Hold Refer Cancel Approve Back Next

Summary

Export LC Advice - KYC Exception

Summary

Application :- 203ELCADV000001070

Main Details

Form Of LC : **IRREVOCABLE**
 Submission Mode : **Desk**
 Date Of Issue : **2018-04-13**
 Date Of Expiry : **2018-08-29**
 Place Of Expiry : **NEGOTIATING BANK**

Party Details

Applicant : **XXX**
 Beneficiary : **XXX**
 Advising Bank : **XXX**
 Confirming Bank :

Limits & Collaterals

Limit Currency : **GBP**
 Limit Contribution : **24000**
 Limit Check Status : **Available**
 Collateral Currency :
 Collateral Contribution :
 Collateral Check Status : **Not Verified**

Compliance

KYC : **Not Verified**
 Sanctions : **Not initiate**
 AML : **Not initiate**

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a refer reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export LC Advice KYC Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM Application limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Application

Refer to [Application](#).

Limit and Collateral Details

This section will display limits and collateral details.

Export LC Advice - Credit Exception - Review

Credit Exception

Application :- 203ELCADV000001070

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
001342	001342	100	GBP	£24,000.00	Available	The Earmark can be performed

Collateral Details

No data to display.

Reject Hold Refer Cancel Approve Back Next

Summary

My Tasks

Bank Futura - (203) 04/13/18
SRIDHAR

Export LC Advice - Credit Exception - Review

Remarks
Documents
Checklist

- Credit Exception
- Summary

Summary

▶ Application :- 203ELCADV000001070

Main Details

Form Of LC : **IRREVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **2018-04-13**

Date Of Expiry : **2018-08-29**

Place Of Expiry : **NEGOTIATING BANK**

Party Details

Applicant : **XXX**

Beneficiary : **XXX**

Advising Bank : **XXX**

Confirming Bank :

Availability & Shipment

Available With : **KISHLI12**

Available By : **By Acceptance**

Port Of Loading :

Port Of Discharge : **New York**

Payments

Period Of Presentation :

Confirmation Instr. : **confirm**

Advise Through Bank :

Documents & Condition

Document 1 : **BOL**

Document 2 : **INSDOC**

Document 3 : **INVOICE**

Limits & Collaterals

Limit Currency : **GBP**

Limit Contribution : **24000**

Limit Check Status : **Available**

Collateral Currency :

Collateral Contribution :

Collateral Check Status : **Not Verified**

Charge Details

Charge :

Commission :

Tax :

Block Status : **Not Initiated**

Revolving Details

Revolving : **No**

Revolving In :

Revolving Frequency :

Preview Messages

Draft Message :

Incoming Message :

Compliance

KYC : **Not Verified**

Sanctions : **Not initiate**

AML : **Not initiate**

Reject
Hold
Refer
Cancel
Approve
Back
Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Export LC Advice Limit Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Multi Level Approval

Log in into OBTFPM Application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open

the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- LC Currency, Amount
- Beneficiary party
- Expiry Date
- Issuing Bank

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'My Tasks' section of the FuTura Bank application. A modal window is open for editing application details. The modal contains the following fields, each with a green checkmark indicating it is available for re-key:

- Applicant Party: 001346
- Currency: GBP
- Amount: £24,000.00
- Beneficiary Party: 001345
- Expiry Date: 08/29/18
- Issuing Bank: 001342

The background shows a table with columns: Amount, Process Name, and Stage. The visible row has the following data:

Amount	Process Name	Stage
£24,000.00	Export LC	Approval1

Application

Refer to [Application](#).

Summary

FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR02 subham@gmail.com

Export LC Issuance - Approval1 :: Application No: GS1ELCAD0024158

Documents Remarks Incoming Message

Summary

Screen (1 / 1)

Main Details	Availability	Payment
Form Of LC : IRREVOCABLE Submission Mode : Desk Date Of Issue : 2019-02-01	Available With : APACGB61XTR Available By : P Port of Loading : Port of Discharge : LONDON	Period Of Present. : 21 Confirmation Instr. : W
Documents & Conditions	Revolving Details	Limits Details
Document 1 : BOL Document 2 : INSDOC Document 6 : INVDOC	Revolving : Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified
Party Details	Charge	Compliance
Applicant : NESTLE Beneficiary : EMR & CO Advising Bank : CITIBANK NY Confirming Bank : HSBC BANK	Charge : Commission : Tax : Block Status : Not Initiated	KYC : Verified Sanctions : Verified AML : Verified

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view preview details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Export LC Advice Approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Advising in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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